



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PATENT EXAMINING OPERATION

Application No.: **10/619,721**

Group Art Unit:

Filing Date: **07/15/2003**

Attorney Docket No.: **KN P 0065**

First Named Inventor: **Ringeisen, Timothy A.**

Examiner:

Title: **COMPLIANT OSTEOSYNTHESIS FIXATION PLATE**

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**Information Disclosure Statement Certificate of Mailing Under 37 CFR 1.8**

I hereby certify that the following correspondence is being deposited utilizing first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

on Oct. 6, 2003

Information Disclosure

Statement Under 37 CFR 3.73(b)

Copy of Assignment

Transmittal Form

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A handwritten signature in black ink, appearing to read "Trina Werkema", is written over a horizontal line. Below the line, the word "Signature" is printed in a small, sans-serif font.

Trina Werkema

Typed or printed name of person signing Certificate



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PATENT EXAMINING OPERATION

Application No.: **10/227,996**

Group Art Unit:

Filing Date: **07/15/2003**

Attorney Docket No.: **KN P 0065**

First Named Inventor: **Ringiesen, Timothy A.**

Examiner:

Title: **COMPLIANT OSTEOSYNTHESIS FIXATION PLATE**

**INFORMATION DISCLOSURE STATEMENT**

Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO Form 1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom. No representation is made that the references are prior art with respect to this application.

This Information Disclosure Statement is being filed within three months of the filing date of a national application other than a CPA under 37 CFR §1.53(d), within three months of the date of entry of the national stage as set forth in 37 CFR §1.491 in an international application, before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of an RCE under 37 CFR §1.114. No certification fee is required.

The undersigned respectfully submits that the assignee Kensey Nash Corporation is an assignee of record as evidenced by the attached Statement Under 37 CFR 3.73(b), and therefore this assignee has established the right to take action in the prosecution of the above-identified patent application.

Respectfully submitted,

Date: 10/6/03

By:

  
Jeffrey C. Kelly  
Kensey Nash Corporation  
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Exton, PA 19341  
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Director of Legal Affairs



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

Sheet

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of

1

**Complete if Known**

Application Number	10/619721
Filing Date	7/15/2003
First Named Inventor	Ringeisen, Timothy A
Art Unit	
Examiner Name	

Attorney Docket Number

KN P 0065

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> ( <i>if known</i> )			
		US- 3,741,205	06/26/1973	Markolf et al	
		US- 6,344,042	11/13/2000	Curtis et al.	
		US- 3,877,424	04/15/1975	Murray	
		US- 5,201,733	04/13/1993	Etheredge, III	
		US- 6,454,770	09/24/2002	Klaue	
		US- 6,336,930	01/08/2002	Stalcup et al.	
		US- 3,739,773	06/19/1973	Schmitt et al.	
		US- 5,290,281	03/01/1994	Tschakaloff	
		US- 6,332,884	12/25/2001	Cooper	
		US- 6,221,075	04/24/2001	Tormala et al.	
		US- 6,203,573	03/20/2001	Walter et al.	
		US- 4,966,599	10/30/1990	Pollock	
		US- 5,413,577	05/09/1995	Pollock	
		US- 4,186,448	02/05/1980	Brekke	
		US- 6,031,148	02/29/2000	Hayes et al.	
		US- 5,855,608	01/05/1999	Brekke et al.	
		US- 2002/0127265	09/12/2002	Bowman et al.	
		US- 5,948,020	09/07/1999	Yoon et al.	
		US- 6,306,424	10/23/2001	Vyakarnum et al.	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> ( <i>if known</i> )				
		EP 0 274 898	07/20/1988	Hinsch		
		WO 86/00533	01/30/1986	Leenslag et al.		

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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